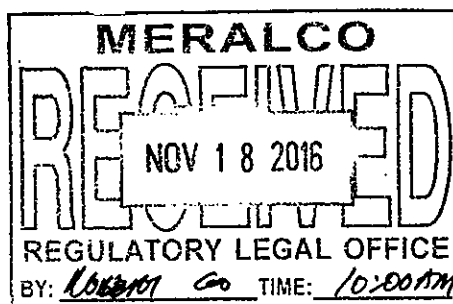


Republic of the Philippines
ENERGY REGULATORY COMMISSION
San Miguel Avenue, Pasig City

**IN THE MATTER OF THE
APPLICATION FOR THE
APPROVAL OF
EMERGENCY AND/OR
URGENT MAJOR CAPITAL
EXPENDITURE (CAPEX),
PROJECTS**



ERC CASE NO. 2016 - 161 RC

**MANILA ELECTRIC
COMPANY (MERALCO)
Applicant.**

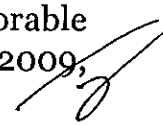
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D O C K E T E D
Date: NOV 17 2016
By: [Signature]

ORDER

On 15 August 2016, Applicant Manila Electric Company (MERALCO) filed an Application dated 12 August 2016 for approval of the emergency and/or urgent major capital expenditure (CAPEX), projects.

In the said Application, MERALCO alleged the following:

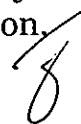
1. Applicant MERALCO is a private corporation duly organized and existing under the laws of the Republic of the Philippines, with principal office located at Lopez Building, Ortigas Avenue, Barangay Ugong, Pasig City. It may be served with notices and other processes of this Honorable Commission through its undersigned counsel at the address indicated herein.
2. MERALCO has a legislative franchise to construct, operate and maintain an electric power distribution system for the conveyance of electric power to the end-users in the cities and municipalities of Metro Manila, Bulacan, Cavite and Rizal, and certain cities/municipalities/barangays in Batangas, Laguna, Quezon and Pampanga, pursuant to Republic Act No. 9209.
3. The Application is being filed pursuant to the Honorable Commission's Resolution No. 26, Series of 2009, 

entitled: "*Resolution Amending the Rules for Approval of Regulated Entities' Capital Expenditure Projects*". Emergency Capital Expenditure projects are those that require immediate implementation during an event that is not a force majeure or fortuitous event in order to maintain safe, reliable, secure and efficient operation of the power system.

4. However, for purposes of recovering the capital cost of the emergency project through the DU's rates, the same will go through the process of evaluation by the Honorable Commission during the regulatory reset of the DU, unless there is basis for a rate-reopening in the current regulatory period, in accordance with the Rules for the Setting of Distribution Wheeling Rates (RDWR) for DUs.

DISCUSSION OF MERALCO'S EMERGENCY CAPEX PROGRAM

5. MERALCO is part of the First Entry Group of distribution utilities that entered Performance Based Regulation ("PBR"). In its Final Determination dated 6 June 2011 in ERC Case No. 2010-069 RC, the Honorable Commission set out its final position on the price control arrangements that would apply to MERALCO for the Third Regulatory Period (3RP) covering the period from 1 July 2011 to 30 June 2015, including its approval of MERALCO's proposed Capital Expenditure (CAPEX) Program, for the said period.
6. The last year of MERALCO's Third Regulatory Period expired on 30 June 2015. The Fourth Regulatory Period (4RP) for the First Entry Group commenced on 1 July 2015 and will end on 30 June 2019. However, the Honorable Commission has not yet issued the relevant rules to govern the reset process and the filing by the DUs of their reset application.
7. As such, on 9 February 2015, MERALCO filed an application for the approval of its CAPEX program for Regulatory Year (RY) 2016. The said application was docketed as ERC Case No. 2015-016 RC.
8. On 10 April 2015, MERALCO filed an Urgent Motion for Issuance of a Provisional Authority ("Urgent Motion") dated 8 April 2015 requesting that it be allowed to immediately implement its priority projects, the list of which was attached to said Urgent Motion.



9. On 15 April 2015, MERALCO filed a Motion of even date attaching a detailed list showing a further breakdown of the projects into business segments especially those that are shared by different business segments.
10. On 11 June 2015, MERALCO filed a Very Urgent Motion for Authority to Commence Implementation of RY 2016 Priority Projects with an attached list of the RY 2016 priority projects ("Very Urgent Motion").
11. On 2 September 2015, MERALCO again filed a Very Urgent Motion to Resolve, emphasizing the urgency to implement its CAPEX projects to ensure reliability and quality of service and avoid further delays.
12. On 4 February 2016, MERALCO filed a Manifestation, dated 2 February 2016, informing the Honorable Commission that in the interest of complying with its obligation to provide safe, adequate, reliable and efficient electric service to its customers, Applicant has implemented four (4) of its proposed major CAPEX projects for RY 2016.
13. On 1 April 2016, MERALCO filed another Manifestation of even date informing the Honorable Commission that in the pursuit of its obligation to provide safe, reliable and continuous electric service to its customers, MERALCO was constrained to implement additional priority CAPEX projects to address the need for capacity upgrades in order to avert multiple power interruptions to its customers and maintain a robust electric distribution infrastructure.
14. On 15 June 2016, MERALCO received a copy of the Decision dated 12 April 2016 which partially approved MERALCO's CAPEX program for RY 2016. However, the Honorable Commission also deferred the following projects, without prejudice to refiling:

Project Code	Project Title
MER-2	Expansion of Bagbaguin 115 kV-34.5 kV Substation (2nd Bank)
MER-4	Installation of 34.5 kV Metalclad Switchgear at Dasmariñas 83 MVA Power Transformer
MER-11	Rebuilding of Portion of Dasmariñas-FCIE 115kV Line
MER-13	Cut-in of Pinagbuhatan Switching Station

MER-14	Replacement of Power Transformer No.2 at Mandaluyong Substation
MER-15	Spare 83MVA 110 kV-34.5 kV-13.8 kV Power Transformer with OLTC for GIS Substation
MER-20	Acquisition of 115 kV Line and 115 kV Switchyard at the Navotas Fish Port Complex

15. In the light of said decision, Applicant hereby refiles the following as major emergency capital projects, to wit:

Project Title	Cost (in PhP)
Expansion of Bagbaguin 115 kV-34.5 kV Substation (2nd Bank)	162,580,219.00
Installation of 34.5 kV Metalclad Switchgear at Dasmarinas 83 MVA Power Transformer	68,161,085.00
Rebuilding of Portion of Dasmarinas-FCIE 115kV Line	75,913,229.00
Replacement of Power Transformer No.2 at Mandaluyong Substation	52,908,466.00

16. We note that these projects, while not emergency at the time of their original filing under ERC Case No. 2015-016 RC, have become critical and had to be immediately implemented as an emergency CAPEX in order to ensure continuous, safe and reliable electric service and compliance with safety and performance standards of the distribution system. More importantly, the immediate implementation of these projects averted emergency conditions or situations, and at the same time, provided the needed capacity intended for the forecasted growth of future electricity demand within MERALCO's franchise area.

17. In addition thereto, MERALCO is also refiling the following major capital projects.

Project Title	Cost (in PhP)
Cut-in of Pinagbuhatan Switching Station	58,635,873.00
Spare 83MVA 110 kV-34.5 kV-13.8 kV Power Transformer with OLTC for GIS Substation	46,443,027.00

Acquisition of 115 kV Line and 115 kV Switchyard at the Navotas Fish Port Complex	118,478,179.00
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These projects are necessary to be acquired immediately in order to readily and quickly address contingencies requiring immediate response. The cut-in of Pinagbuhatan Switching Station project is intended to comply with the N-1 contingency and thus address system constraints and deficiencies. In the case of the spare power transformer, the same is urgently needed in order to have a ready replacement in the event of a failure of MERALCO's commissioned 83MVA power transformers. This will definitely prevent any prolonged and sustained power interruption in the event of a transformer failure. On the other hand, the acquisition of the 115kV line and switchyard at Navotas is urgently needed in order to have full control of such asset that are already part of MERALCO's distribution system.

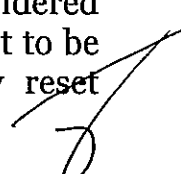
18. In view of the foregoing, MERALCO is refiling the foregoing projects as Emergency/ Urgent Major CAPEX projects. It must be emphasized that MERALCO's customers benefitted from the implementation of these projects as the integrity and reliability of the distribution system was maintained.

Copies of the different Project Information Summaries with supporting documents: (a) Technical and Economic Analysis; (b) Cost Estimates; (c) Expenditure Summary; (d) Cost Benefit Analysis Calculation; and (e) Gantt Chart of the projects are attached herewith as **Annexes "A" and series** and made integral parts hereof.

19. In further support of the Application, attached hereto as **Annex "B"** is the Judicial Affidavit of Mr. Froilan J. Savet, Senior Assistant Vice-President and Head of MERALCO's Network Planning and Project Management Office.

PRAYER

WHEREFORE, it is respectfully prayed to this Honorable Commission that the instant Application be **APPROVED** and that the building block components associated with the foregoing Major CAPEX projects from the time the same are put in service and considered use and useful be recognized as a deferred amount to be included in MERALCO's subsequent regulatory reset calculations.



Other relief just and equitable under the premises are likewise prayed for.

Having found the said Application to be sufficient in form and substance with the required fees having been paid, the same was set for initial hearing on 08 November 2016 at two o'clock in the afternoon (2:00 p.m.), at the ERC Hearing Room. However, due to limited time to comply with all the directives in the Order dated 01 October 2016, the same having been received by the Applicant only on 24 October 2016, the Applicant filed on 26 October 2016 a Manifestation and Motion praying that a new order be issued resetting the initial hearing.

On 02 November 2016, Engr. Robert F. Mallillin filed a *Motion to Participate as Intervenor* and *Pre-trial Brief*, both dated 28 October 2016, seeking to participate in the proceedings as intervenor in his capacity as consumer of applicant who will be affected by the electricity rates that will prospectively be collected by the applicant from its customers.

Finding the Applicant's and Engr. Mallillin's motions to be meritorious, the same are hereby GRANTED.

ACCORDINGLY, the instant Application of MERALCO is hereby set for determination of compliance with the jurisdictional requirements, Expository Presentation, Pre-trial Conference, and presentation of evidence on **09 January 2017 (Monday) at two o'clock in the afternoon (2:00 p.m.), at the ERC Hearing Room, 15th Floor, Pacific Center Building, San Miguel Avenue, Pasig City.**

MERALCO is hereby directed to cause the publication of the attached Notice of Public Hearing, at their own expense, twice (2x) for two (2) successive weeks in two (2) newspapers of general circulation in the Philippines, with the date of the last publication to be made not later than ten (10) days before the date of the scheduled initial hearing. They are also directed to inform the consumers within the franchise area of MERALCO, by any other means available and appropriate, of the filing of the Application, its reasons therefor, and of the scheduled hearing thereon.

MERALCO is likewise directed to furnish copies of the Application, this Order, and the attached Notice of Public Hearing to the Office of the Solicitor General (OSG), the Commission on Audit

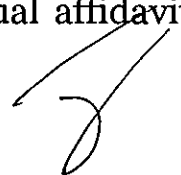
(COA), and the Committees on Energy of both Houses of Congress. These offices are hereby requested, if they so desire, to send their duly authorized representatives at the scheduled hearing.

MERALCO is further directed to furnish copies of this Order and the attached Notice of Public Hearin to the city and municipal mayors, and the Local Government Unit (LGU) Legislative Bodies within MERALCO's franchise area for the appropriate posting thereof on their respective bulletin boards.

MERALCO is also directed to furnish all those making requests therefor, including Engr. Robert Mallillin, with copies of their Application and the attachments thereto, subject to reimbursement of reasonable photocopying costs.

On the date of the initial hearing, MERALCO must submit to the Commission their written Compliance with the jurisdictional requirements attaching therewith, methodically arranged and duly marked, the evidence of the actual posting and publication of the Notice of Public Hearing consisting of certifications issued to that effect, signed by the afore-mentioned governors and mayors, or their duly authorized representatives, and the duly authorized officials of the LGU Legislative bodies, bearing the seals of their offices, and the affidavits of the editors or business managers of the newspapers where the said Notice of Public Hearing were published together with the complete issues of the said newspapers, and such other proofs of compliance with the requirements of the Commission.

MERALCO and all interested parties are directed to submit, at least five (5) days before the date of initial hearing and Pre-trial Conference, their respective Pre-trial Briefs containing, among others:

- a. A summary of admitted facts and proposed stipulation of facts;
 - b. The issues to be tried or resolved;
 - c. The documents or exhibits to be presented, stating the purposes and proposed markings therefor; and
 - d. The number and names of the witnesses, with their written testimonies in an individual affidavit form, to be attached to the Pre-trial Brief.
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Failure by the Applicant to submit the required Pre-trial Brief and Judicial Affidavits of their witnesses within the prescribed period shall be a ground for cancellation of the scheduled hearing, and the resetting of which shall be six (6) months from said date of cancellation.

Finally, the Applicant must be prepared to make an Expository Presentation of their Application aided by whatever communication medium that they may deem appropriate for the purpose, in order to put in plain words and explain, for the benefit of the consumers and other concerned parties, what their Application is all about and the reasons and justifications being cited in support thereof.

SO ORDERED.

Pasig City, 09 November 2016.

FOR AND BY AUTHORITY
OF THE COMMISSION:


JOSE VICENTE B. SALAZAR
Chairman and CEO


LS: GLS/NJM

ERC
Office of the Chairman



K-2016-007-OC-05862

Copy Furnished:

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4. Commission on Audit (COA)
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Quezon City, Metro Manila
5. Senate Committee on Energy
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Metro Manila
6. House Committee on Energy
Batasan Hills, Quezon City, Metro Manila
7. Philippine Chamber of Commerce and Industry (PCCI)
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McKinley Town Center, Fort Bonifacio, Taguig City
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City of Manila
9. LGU Legislative Body
City of Manila
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Quezon City
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City of Caloocan
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Sto. Tomas, Batangas
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San Simon, Pampanga
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San Simon, Pampanga
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Apalit, Pampanga
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236. Office of the Governor
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250. ROS
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